

HFN

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/688,399

Filing Date October 16, 2003

First Named Inventor Xu Mo

Art Unit 2834

Examiner Name

Attorney Docket Number 1229.007

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Filing Receipt Error. Filing date is 10/16/03 (not 10/15/03 as indicated on Filing Receipt)	
	Enclosed is Copy of Express mail receipt Enclosed is Copy of Filing Receipt	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Wax Law Group, 2118 Wilshire Blvd., Ste. 407, Santa Monica, CA 90403		
Signature			
Printed name	Jeffrey Wax		
Date	April 23, 2005	Reg. No.	51364

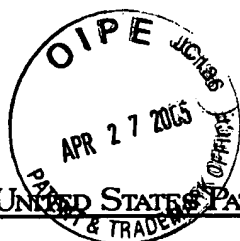
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Virginia Wilson	Date	April 23, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



BEST AVAILABLE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/688,399	10/15/2003	2834	770	1229.007	5	20	3

37421

WAX LAW GROUP

2118 WILSHIRE BOULEVARD, SUITE 407

SANTA MONICA, CA 90403

should be  
 10/16/2003

CONFIRMATION NO. 1345

FILING RECEIPT



\*OC000000011717331\*

Date Mailed: 01/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Mo Xu, Singapore, SINGAPORE;  
 Victor C. Choo, Singapore, SINGAPORE;  
 YiRen Hong, Singapore, SINGAPORE;  
 PohLye Lim, Singapore, SINGAPORE;  
 SiewMing Ng, Singapore, SINGAPORE;

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/472,304 05/20/2003

**Foreign Applications**

If Required, Foreign Filing License Granted: 01/17/2004

Projected Publication Date: 11/25/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Base plate for spindle motor



UNITED STATES POSTAL SERVICE®

## POST OFFICE TO ADDRESSEE



\* E U 9 1 2 4 6 2 6 4 3 U S \*

ORIGIN (POSTAL USE ONLY)		Flat Rate Envelope	
PO Zip Code 90045	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	<input type="checkbox"/>	
Date in 12-16-03	Mo. Day Year 12 16 03	Postage \$ 1.36	
Time in 5:16 PM	Mo. Day Year 12 16 03	Return Receipt Fee	
Weight 1.10 lbs.	AM <input checked="" type="checkbox"/> PM <input type="checkbox"/>	COD Fee	
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Military <input type="checkbox"/> Int'l Alpha Country Code	Insurance Fee	
Acceptance Clerk Initials JIT	Total Postage & Fees \$ 1.36		

## CUSTOMER USE ONLY

 METHOD OF PAYMENT  
 Express Mail Corporate Acct. No.

FROM: (PLEASE PRINT)

PHONE 310, 312-1500

JEFFREY WAX  
 WAX LAW GROUP  
 2118 Wilshire Blvd.  
 Suite 407  
 Santa Monica, CA  
 90403

TO: (PLEASE PRINT)

Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA

 Federal Agency Acct. No. or  
 Postal Service Acct. No.

PHONE

ZIP+4

2 2 3 1 3 + 1 4

Product	Description	Sale Unit	Price	Final Price
ALEXANDRIA # 22313	Express Mail PO AND	1	\$13.65	\$13.65
Serial Number EU92962243057	2nd Day Noon / Normal	1	\$13.65	\$13.65
Issue Post			\$13.65	\$13.65
Total:			\$13.65	\$13.65
Paid by:	Personal Check		\$13.65	\$13.65

 WEST LOS ANGELES STATION  
 LOS ANGELES, California  
 90025-9998  
 (800) 275-8777  
 05:17:23 PM

10/16/2003

 APR 27 2003  
 PATENT OFFICE

10/688, 3019 E

Customer Copy

 SEE REVERSE SIDE FOR  
 SERVICE GUARANTEE AND  
 INSURANCE COVERAGE LIMITS

 WAIVER OF SIGNATURE  
 NO DELIVERY  
 NO POSTAGE  
 NO INSURANCE

BEST AVAILABLE COPY